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## ORIGINAL ARTICLE

# Biparametric score as a new tool for early indication of surfactant in preterm infants

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### KEYWORDS

Lung ultrasound;  
Preterm infants;  
Respiratory distress;  
Surfactant treatment

### Abstract

**Objective:** To investigate whether the use of a biparametric score, based on lung ultrasound (LUS) and oxygen saturation/fraction of inspired oxygen ratio (SF ratio), in preterm infants with respiratory distress syndrome (RDS) allows earlier surfactant therapy (first 3 hours of life) compared to classic FiO<sub>2</sub> criteria.

**Material and methods:** Before-after design study, performed in a tertiary neonatal intensive care unit. Inclusion criteria were newborns with gestational age < 34 weeks with clinical RDS and respiratory support with noninvasive ventilation. The patients were divided into two groups, the control group, with surfactant indication according to classic criteria, collected retrospectively, and the new protocol group, with surfactant criteria according to biparametric score.

**Results:** 61 patients were included. The new protocol group received surfactant earlier (all patients in the first 3 hours, p 0.013). Likewise, after surfactant treatment, newborns in this group required lower FiO<sub>2</sub> (p 0.001) and a better pulmonary ultrasound evolution according to LUS (p 0.008).

**Conclusions:** Biparametric scoring allowed earlier surfactant therapy and reduced post-treatment oxygen requirement. This protocol offers a more personalized approach tailored to the patient's needs, which helps us in decision-making.

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## 1 Introduction

2 Primary surfactant deficiency or respiratory distress syn-  
3 drome (RDS) continues to be an important cause of morbid-  
4 ity and mortality in very preterm infants. Continuous

positive airway pressure and surfactant are the first- and 5  
second-line treatments, respectively, in this pathology. 6

7 There is evidence that the administration of surfactant in  
8 the first hours of life decreases mortality and the risk of  
9 developing BPD compared to when it is administered later, 10  
[1] so early identification of newborns who may benefit from 11  
this treatment, which is not free of complications, is a chal- 12  
lenge in neonatal critical care. However, the optimal criteria 13  
for its administration are not entirely clear. 14

15 The different international guidelines recommend the  
16 administration of surfactant in preterm newborns, establish-  
ing a FiO<sub>2</sub> threshold. In Europe, the most widespread

**Abbreviations:** RDS, Respiratory distress syndrome; FiO<sub>2</sub>, Fraction of inspired oxygen; SF ratio, oxygen saturation/fraction of inspired oxygen ratio; LUS, Lung Ultrasound Score; BPD, Bronchopulmonary Dysplasia.

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17 indication is to administer it as soon as possible when  $\text{FIO}_2 >$   
 18 30% is required to maintain oxygen saturations adequate for  
 19 gestational age after optimizing noninvasive ventilation,  
 20 preferably by noninvasive techniques [2]. These recommen-  
 21 dations have weak evidence and are based on retrospective  
 22 studies that evaluate the predictors of noninvasive ventila-  
 23 tion failure, one of which is  $\text{FIO}_2$  [3,4].

24 However, studies that support the use of lung ultrasound  
 25 as a predictive tool for the need for early surfactant replace-  
 26 ment have much more robust evidence, with a larger num-  
 27 ber of patients, including some meta-analyses [5,6]. They  
 28 are based on the use of a semiquantitative score that quanti-  
 29 fies the loss of lung aeration (LUS: Lung Ultrasound Score)  
 30 [7–11]. In fact, the latest European Consensus Guideline for  
 31 the management of RDS 2 includes “compatible lung ultra-  
 32 sound” without further specification as a possible criterion  
 33 for indicating treatment with surfactant.

34 As demonstrated by Brusa et al., [12] there is good inter-  
 35 observer agreement when interpreting neonatal lung sonog-  
 36 raphy images, with even higher concordance observed in  
 37 newborns with respiratory distress syndrome compared to  
 38 other pulmonary conditions.

39 On the other hand, the ability of the oxygen saturation/  
 40 fraction of inspired oxygen ratio (SF ratio) as a parameter to  
 41 guide surfactant treatment in preterm newborns has been  
 42 analyzed in isolation or in combination. SF ratio is a non-  
 43 invasive marker that gives a good correlation with PF ratio  
 44 (arterial  $\text{O}_2$  pressure/ $\text{FiO}_2$ ) when oxygen saturation is  
 45 between 92 and 98%. Recent publications show that LUS and  
 46 SF ratios are good predictors for surfactant treatment [13].  
 47 The combination of these two parameters shows a higher  
 48 predictive value for the need for surfactant, as supported by  
 49 a multicenter observational cohort study conducted in Ital-  
 50 ian neonatal units in infants younger than 34 weeks, regard-  
 51 less of the degree of prematurity [14].

## 52 Material and methods

53 A before-after design study was carried out in a tertiary-  
 54 level hospital integrated into the Spanish health system with  
 55 a level IIIB Neonatal Unit attending around 2500 deliveries  
 56 per year.

57 All the professionals working in the Unit had received cer-  
 58 tified training in lung ultrasound prior to the study. A  
 59 Gehealthcare LOGIC S7 Xdclear 2.0 ultrasound machine with  
 60 an L8–18i linear probe suitable for this type of patient was  
 61 used.

62 The study was approved by the hospital Ethics Commit-  
 63 tee, and written informed consent was requested from the  
 64 legal guardians of the participants prior to inclusion.

## 65 Objectives

66 The main objective of the study was to determine if a diag-  
 67 nosis of RDS guided by a biparametric test allowed for early  
 68 surfactant in preterm infants younger than 34 weeks, com-  
 69 pared to the classic criteria for surfactant treatment.

70 The secondary objectives were to determine the number  
 71 of patients who receive surfactant, how many receive it in  
 72 the first 3 hours of life, the subsequent respiratory evolution  
 73 and the need for mechanical ventilation in the following

72 hours after surfactant treatment in both groups, and to  
 73 determine the SF ratio 30 min after surfactant administra-  
 74 tion in the new protocol group. 75 76

## Intervention 77

78 A biparametric scoring protocol was developed as the main  
 79 intervention, integrating Lung Ultrasound Score (LUS) and  
 80 SF ratio to guide surfactant administration decisions.

## Methodology 81

82 The patients were divided into two groups.

- A control group, consisting of preterm newborns under 34 83  
 weeks with a diagnosis of RDS and need for noninvasive 84  
 ventilation, in whom the administration of surfactant 85  
 was assessed according to the classic criteria of the Euro- 86  
 pean Consensus Guideline 2 for the treatment of neonatal 87  
 RDS in preterm infants, collected between January 2021 88  
 and June 2022. 89
- A new protocol group, recruited between July 2022 and 90  
 March 2024, consisting of preterm infants younger than 91  
 34 weeks with clinical signs of RDS and the need for non- 92  
 invasive ventilation in whom the need for surfactant 93  
 administration was assessed according to the new proto- 94  
 col implemented in the unit based on lung ultrasound 95  
 assessment and SF ratio. 96

97 All preterm newborns included in the study were initially 97  
 98 stabilized using non-invasive ventilation (NIV), with support 98  
 99 pressures ranging between 5 and 7  $\text{cmH}_2\text{O}$ . This respiratory 99  
 100 support was applied upon admission to the unit, maintaining 100  
 101 standardized parameters to ensure adequate oxygenation 101  
 102 and to avoid early intubation, in accordance with current 102  
 103 neonatal respiratory management protocols.

104 For the implementation of this protocol, a preliminary 104  
 105 statistical analysis of the results of the initial cohort (control 105  
 106 group) was carried out, where the authors performed lung 106  
 107 ultrasound prior to the administration of surfactant, without 107  
 108 this being a determining factor when indicating this treat- 108  
 109 ment, and the results obtained in other similar studies were 109  
 110 taken into account. It was concluded that having more than 110  
 111 7 points in this score in the first lung ultrasound increased 111  
 112 the need for surfactant by 81% with respect to those with 112  
 113 less than 7 points, with a Hazard ratio of 0.19 (0.04–0.75)  $p$  113  
 114 0.02.

115 The ultrasound study was carried out following the Brat 115  
 116 [15] Ultrasound Score, exploring 3 zones in each hemithorax 116  
 117 (upper anterior, lower anterior and lateral) and giving a 117  
 118 score from 0 to 3 points in each lung field, obtaining a final 118  
 119 score between 0 and 18 points. 119

120 The new protocol for the administration of surfactant in 120  
 121 the unit was based on the performance of lung ultrasound in 121  
 122 preterm infants under 34 weeks with clinical signs of RDS 122  
 123 and noninvasive ventilation between the first thirty minutes 123  
 124 and two hours of admission, proceeding as shown in the algo- 124  
 125 rithm in Fig. 1. 125

126 The exclusion criteria in both groups were newborns with 126  
 127 major malformations; with severe sepsis or septic shock; 127  
 128 with suspected pneumothorax or meconium aspiration syn- 128  
 129 drome; exitus in the first 72 hours of life; newborns having 129

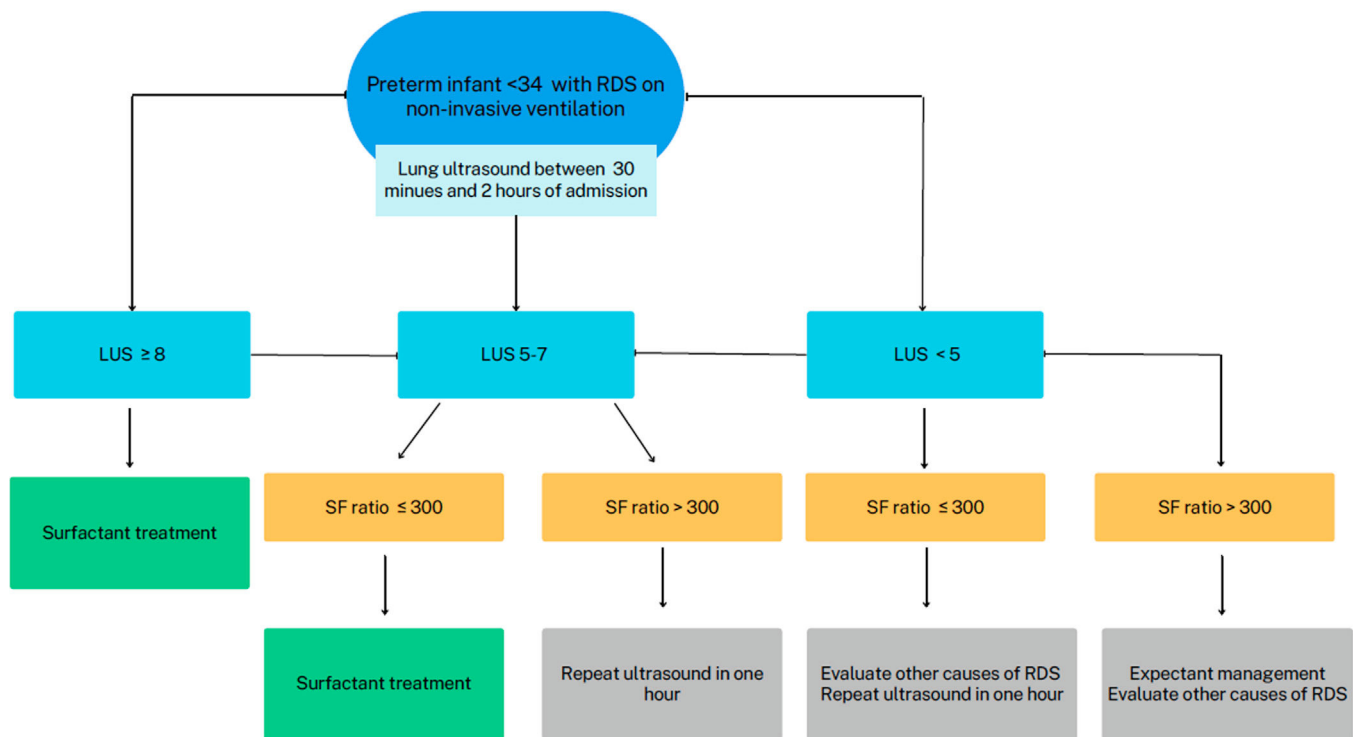


Fig. 1 Algorithm for decision-making regarding surfactant administration.

130 been administered surfactant prior to lung ultrasound; for  
131 refusal by the legal representatives to participate in the  
132 study are excluded.

133 The administration of surfactant (Curosurf 200 mg/kg/  
134 dose) is preferably performed by a minimally invasive tech-  
135 nique and using comfort measures in all patients at the time  
136 of application.

### 137 Statistical analysis

138 A statistical study was carried out where the distributions  
139 were analyzed, according to the normality or non-normality  
140 of the sample, using the Kolmogorov-Smirnov or Shapiro-  
141 Wilk tests. Qualitative variables were expressed as fre-  
142 quency (percentage, %), and continuous quantitative varia-  
143 bles were expressed as median with interquartile range  
144 (IQR) [p25-p75] or as mean  $\pm$  standard deviation (SD). The  
145 relationship between qualitative variables was calculated  
146 using Pearson's Chi-square test, and between quantitative  
147 variables, the Student's *t*-test and Mann-Whitney *U* test  
148 according to the distribution of the data. The McNemar test  
149 or the Wilcoxon test was used to test the hypothesis of  
150 paired data. A significance level below 5% was established.

### 151 Results

152 A total of 61 patients were included, 30 in the new protocol  
153 group and 31 in the control group. No patient was excluded  
154 because of exitus in the first 72 hours of life or because fam-  
155 ily members did not agree to participate in the study. An  
156 analysis of the characteristics of both populations was car-  
157 ried out, finding similar characteristics, except for a

158 difference in the mean gestational age of 9 days between 158  
the two groups, which could be up to 17 days longer in the 159  
control group. When stratifying by gestational age, a greater 160  
number of patients in the 31–33+6 weeks group were 161  
observed in the control group (Table 1). 162

163 Lung ultrasound was performed in all patients included in  
164 the study, with a statistically significant difference ( $p$  0.016)  
165 in the timing of this assessment, with greater dispersion  
166 in the control group (Table 2). The mean score of the first ultra-  
167 sound, according to the Brat ultrasound score, in the  
168 patients included in the control group was somewhat lower  
169 than in the new protocol group (5.77 points SD 4.6 vs. 7.67  
170 points SD 4.2).

171 In the control group, surfactant was administered in 171  
48.4% of the patients, and in the new protocol group, in 172  
60% of them, without statistically implying that the new 173  
way of deciding the administration of surfactant increased 174  
the number of patients treated (Table 2). 175

176 In the new protocol group, all the patients who received 176  
surfactant had an initial ultrasound score of more than 7 177  
points. The mean Brat ultrasound score in patients who 178  
received surfactant prior to surfactant administration in the 179  
new protocol group was 10.78 points (SD 1.5) and in the con- 180  
trol group, 8.33 points (SD 5.1). When pre-treatment  $\text{FiO}_2$  181  
was analyzed in these patients, 7 (38.8%) in the intervention 182  
group required a  $\text{FiO}_2 \leq 30\%$  vs 3 (20%) in the control group. 183

184 In the new protocol group, 100% of the patients received 184  
surfactant in the first 3 hours of life, compared to 66.7% in 185  
the control group, with a statistically significant difference 186  
( $p$  0.013). 187

188 When  $\text{FiO}_2$  was assessed one hour after administering the 188  
treatment in both groups, 88.9% of the patients in the new 189  
protocol group required  $\text{FiO}_2$  below 30% compared to 73.3% 190

**Table 1** Demographic variables of the population. Data expressed as mean (standard deviation), median (p25-p75), or number (%) as appropriate.

	New protocol group (n = 30)	Control group (n = 31)	p
Female	12 (40 %)	9 (29 %)	NS
Birth weight (grams)	1240 (953–1540)	1330 (1060- 1840)	NS
Caesarean delivery	19 (63,3 %)	21(67,7 %)	NS
Gestational age (weeks)	30+1 (27+4 - 31+2)	31+3 (28+5 - 33)	p 0018
< 28	8 (26,7 %)	4 (12,9 %)	NS
28–30+6	13 (43,3 %)	7 (22,6 %)	NS
31–33+6	9 (30 %)	20 (64,5 %)	0026
Antenatal steroids ( $\geq 2$ doses)	22 (73,3 %)	24 (77,4 %)	NS

\* NS, not significant.

**Table 2** Analysis of total patients. Data expressed as mean (standard deviation), median (p25-p75) or number (%) as appropriate.

	New protocol group (n = 30)	Control group (n = 31)	p
Maximum FiO <sub>2</sub> before assessing surfactant treatment	30 (21–35)	25 (21–45)	NS
Initial pCO <sub>2</sub>	54 (45,7–58,2)	50,8 (42,3–57)	NS
Hours of life 1st ultrasound	2 (1,3–2)	2 (1–3)	p 0016
1st ultrasound score	7,67 (4,2)	5,77 (4,6)	NS
Surfactant administration	18 (60 %)	15 (48,4 %)	NS
Days on noninvasive ventilation	5 (2–11)	1 (1–3)	NS
Bronchopulmonary dysplasia	8 (28,6 %)	5 (17,2 %)	NS

\*NS, not significant.

191 in the control group. On analyzing the FiO<sub>2</sub> trend in patients  
 192 receiving treatment according to the new protocol, the  
 193 number of patients requiring a FiO<sub>2</sub> was found to be greater  
 194 than or equal to 30% dropped from 72% to 11% after treat-  
 195 ment. In the control group, this difference is smaller, going  
 196 from 80% to 26.7%, obtaining statistically significant differ-  
 197 ences (p 0.001). A statistically significant difference in the  
 198 ultrasound evolution was also observed when analyzing the  
 199 change in the pre-post surfactant administration score in  
 200 favor of the new protocol group (p 0.008). In this group, the  
 201 LUS median was initially 11 points, lowering 48 hours later  
 202 to 2 points. The improvement was less in the control group,  
 203 changing from a median of 8 to 3 points. In the rest of the  
 204 clinical evolution parameters, there were no differences  
 205 between the two groups (Table 3).

206 In the patients in the new protocol group, the SF ratio  
 207 was evaluated before and after surfactant treatment, and  
 208 an average difference of 100 units (CI 58–140) was observed  
 209 in the subsequent SF ratio (p < 0.001).

210 After applying the new protocol, only 3 patients (10%)  
 211 obtained an ultrasound score between 5–7 points, requiring  
 212 the SF ratio to assess the attitude to follow. All of them pre-  
 213 sented an SF ratio > 300, and surfactant administration was  
 214 not indicated. None of them presented worsening in ultra-  
 215 sound aeration, nor did they subsequently receive surfac-  
 216 tant. Thirty percent of the patients in the new protocol had  
 217 scores below 5 points and an SF ratio > 300.

## Discussion

218  
 219 The present study reinforces the evidence previously pro-  
 220 vided by the literature [5,6,8,10,15] that lung ultrasound is  
 221 a useful tool for guiding surfactant administration.

222 Although lung ultrasound has become a standard technique  
 223 in the diagnosis of RDS in the newborn, there is variability  
 224 among the lung zones assessed, the way of assigning the LUS  
 225 score, and the cut-off points used to indicate surfactant treat-  
 226 ment in the different studies mentioned. However, the differ-  
 227 ences found are minimal, and the clinical implications are  
 228 negligible, as demonstrated by a recent multicenter study  
 229 comparing the three most commonly used ultrasound scores  
 230 [16]. All scores had an excellent ability to predict the need for  
 231 surfactant and optimal intra- and interobserver agreement. In  
 232 the unit, the authors use the Brat score, assuming that these  
 233 patients have a homogeneous deficit and that exploring poste-  
 234 rior fields does not provide significant extra information that  
 235 would justify delaying or complicating the technique.

236 In relation to the timing of the first ultrasound, the authors  
 237 emphasize the importance of allowing sufficient time for the  
 238 physiological transition mechanisms to take place and to  
 239 ensure good recruitment with noninvasive ventilation. There-  
 240 fore, in order to be able to detect when noninvasive ventila-  
 241 tion is insufficient, the authors do not recommend performing  
 242 the first ultrasound evaluation of pulmonary aeration in the  
 243 delivery room or immediately after transfer to the neonatal

**Table 3** Analysis of patients receiving surfactant. Data expressed as mean (standard deviation), median (p25-p75) or number (%) as appropriate.

	New protocol group (n = 18)	Control group (n = 15)	P
Maximum FiO <sub>2</sub> before assessing surfactant treatment	30 (25–40)	40 (30–50)	NS
Surfactant administered in the first 3 hours of life	18 (100 %)	10 (66,7 %)	p 0.013
FiO <sub>2</sub> < 30% after 1 hour surfactant	16 (88,9 %)	11 (73,3 %)	NS
Failure of non-invasive ventilation after 72 hours	2 (11,1 %)	2 (13,3 %)	NS
Days on noninvasive ventilation	5 (3–7)	3 (2–5)	NS
Total respiratory support days	18 (6–32)	6(5–43)	NS

\*NS, not significant.

unit, unless the objective is other than deciding whether or not to administer exogenous surfactant.

As in the randomized clinical trial by Rodriguez-Fanjul et al., [11] the use of the new protocol has been shown to achieve treatment earlier in the course of the pathology in question, reducing the time to administer the first dose of surfactant. All the patients included in the intervention group received treatment within the first three hours of life, while in the control group, 33% of the patients were treated later. Although the authors have not been able to demonstrate this in the present study, it is possible that this results in less need for subsequent mechanical ventilation and better respiratory outcomes as reported in the literature.

Although the authors know that ultrasound scores that assess pulmonary aeration have been shown to correlate well with the degree of oxygenation, the inclusion of the SF ratio is of interest in patients in the gray zone. The authors refer to the gray zone as that which includes scores between 5 and 7 points, in which lung ultrasound alone may be insufficient because it corresponds to intermediate lung patterns. Thus, the proposed biparametric score has the potential to assist in therapeutic decision making in patients in whom the decision to administer surfactant may be more controversial. Studies claim that the classic criteria for surfactant administration, based primarily on FiO<sub>2</sub>, may be arbitrary and may not accurately reflect patient oxygenation [11,14]. On the other hand, De Luca suggests that the use of the SF ratio to predict the need for surfactant could be circular reasoning, since FiO<sub>2</sub>, being a component of this index, is also a determining factor in the final decision [17]. For this reason, the combination of lung ultrasound together with the SF ratio could be the best predictor to assess administration by providing an earlier and more accurate assessment, allowing a timelier intervention and potentially better outcomes, as this multimodal approach provides information on lung structure and on oxygenation efficiency. This was demonstrated by Raimondi et al. in demonstrating an area under the curve (AUC) combining lung ultrasound and SAFI of 0.93, significantly exceeding that of lung ultrasound or SAFI alone [14].

One of the most widespread concerns is whether the use of ultrasound alone to indicate surfactant treatment could lead to an increase in the number of patients treated. In this case, the use of the biparametric score did not significantly increase this n, and the same is the case in most studies published in recent years, which show how lung ultrasound can detect surfactant deficiency earlier without necessarily increasing the total number of patients treated [8,10,11].

Of the 18 patients who received surfactant in the new protocol group, the authors found that up to 38% of them would not have been treated if their evaluation had been based exclusively on FiO<sub>2</sub>, risking a worse evolution, longer exposure time to oxygen, and its toxic effects.

In the present study, when analyzing the evolution of the patients who received surfactant in both groups, the authors found a more significant overall improvement in the new protocol group due to greater progress in ultrasound scores 48 hours later, even though the initial scores were worse, and a statistically significant superior decrease in FiO<sub>2</sub> after one hour of surfactant administration. Therefore, the present study supports the superiority of combined assessment for treatment decision [14,18].

The use of the protocol did not lead to an increase in the need for invasive mechanical ventilation 72 hours later, nor was it related to an increase in the need for administration of a second dose of surfactant in these patients. However, like other authors, the authors were unable to demonstrate a direct reduction in the number of total days of ventilation or in the development of bronchopulmonary dysplasia (BPD).

The before-and-after design used to evaluate the effect of introducing the new protocol may represent a limitation, as it does not account for possible secular trends. However, the authors consider that the bias is minimal given the short evaluation period and the absence of other changes in clinical protocols and local epidemiology. One of the main limitations of this study lies in the impossibility of performing a prior sample size calculation. The comparison was made between a historical cohort and a prospective cohort, determined by the number of admissions during the established periods. Since this was an implementation of a unit-wide protocol, all patients who met the inclusion criteria were consecutively included, without randomization or predetermined sample size calculation.

This biparametric score is not applicable to intubated patients, since, as indicated in the study by Bouhemad et al., [19] mechanical ventilation may modify the pulmonary echographic pattern, attributed to the higher mean airway pressure, and therefore may not be a good predictor for the need for surfactant as the authors find falsely lower scores.

In conclusion, the present study develops a feasible predictive model for early surfactant therapy in newborns < 34 weeks with RDS, offering a more personalized approach tailored to the patient's needs, which helps us in clinical decision making. It allowed earlier surfactant therapy, it reduced post-treatment oxygen requirement, and it achieved improved lung aeration sonographically compared to classical criteria.

338 The authors believe that conducting multicenter prospec-  
 339 tive cohort studies would allow for the validation of the  
 340 score in a larger population and the evaluation of other  
 341 long-term clinical outcomes, such as the development of  
 342 bronchopulmonary dysplasia. From the authors' point of  
 343 view, a randomized trial could be considered unethical at  
 344 present, since lung ultrasound is already the imaging test of  
 345 first choice for respiratory pathology in NICUs.

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### 350 Ethical approval

351 All procedures performed in this study involving human par-  
 352 ticipants were in accordance with the ethical standards of  
 353 the institutional research Committee (Ethics Committee of  
 354 Virgen Macarena and Virgen del Rocio University Hospitals)  
 355 and the 1964 Declaration of Helsinki and its subsequent  
 356 amendments or comparable ethical standards.

### 357 Informed consent

358 Informed consent was obtained from the legal guardians of  
 359 all individual participants included in the study.

### 360 Conflicts of interest

361 The authors declare no conflicts of interest.

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